



## **Form ADV Part 2B – Brochure Supplement**

for

**Ashlee B. Patten, CFA<sup>®</sup>**  
**President,**  
**Chief Executive Officer,**  
**Chief Investment Officer &**  
**Senior Portfolio Manager**

**Effective: February 12, 2024**

This Form ADV Part 2B (“Brochure Supplement”) provides information about the background and qualifications of Ashlee B. Patten (CRD# 6208041) in addition to the information contained in The Patten Group (“TPG” or the “Advisor”) (CRD # 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Ms. Patten is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD# 6208041.

## Item 2 – Educational Background and Business Experience

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Ashlee B. Patten, CFA<sup>®</sup>, born in 1967, is dedicated to advising Clients of TPG in her roles as the President, Chief Executive Officer, Chief Investment Officer and Senior Portfolio Manager. Ms. Patten earned a Bachelor of Arts in History and a certificate in American Studies from Princeton University in 1990 and a Masters in Exercise Science from Smith College in 2000. Additional information regarding Ms. Patten's employment history is included below.

### Employment History:

President and Chief Executive Officer, Chief Investment Officer & Senior Portfolio Manager, The Patten Group, Inc.	02/2020 to Present
Chief Investment Officer & Portfolio Manager, The Patten Group, Inc.	03/2014 to 01/2020
Managing Member & Chief Executive Officer, Ashlee Patten Group, LLC	12/2014 to Present
Portfolio Manager and Research Analyst, Patten and Patten, Inc.	01/2001 to 03/2014

### Chartered Financial Analyst™ ("CFA<sup>®</sup>")

The Chartered Financial Analyst™ ("CFA<sup>®</sup>") charter is a professional designation established in 1962 and awarded by CFA<sup>®</sup> Institute. To earn the CFA<sup>®</sup> charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA<sup>®</sup> Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. Also, CFA<sup>®</sup> charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm their adherence to the CFA<sup>®</sup> Institute Code of Ethics and Standards of Professional Conduct. CFA<sup>®</sup> is a trademark owned by CFA<sup>®</sup> Institute.

## Item 3 – Disciplinary Information

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***There are no legal, civil or disciplinary events to disclose regarding Ms. Patten.*** Ms. Patten has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Patten.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices.

***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Patten.***

However, the Advisor does encourage you to independently view the background of Ms. Patten on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD# 6208041.

## Item 4 – Other Business Activities

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### Ashlee Patten Group, LLC

Ms. Patten, in her individual capacity, is also Managing Member of Ashlee Patten Group, LLC ("APG"), located in Chattanooga, TN. APG conducts agricultural business. Ms. Patten is compensated based on membership income in relation to the sale of goat breeding stock, goat meat, chickens, eggs and the

breeding of Maremma sheepdogs. Ms. Patten spends approximately 8-20 hours per month to conduct farm business.

#### Tugboat, LLC

Ms. Patten serves as a Managing Member of Tugboat, LLC, located in Signal Mountain, TN. Tugboat, LLC is an agricultural enterprise connected with Laurelwood Farm in Sequatchie County, TN. Ms. Patten manages the kitchen garden and orchard and spends approximately 10-20 hours per month of her time in this capacity.

#### Volunteer Position

Ms. Patten serves as a Trustee with the University of Chattanooga Foundation. She is currently the Chairperson and serves on the standing committees. Less than 5% of her time is spent on responsibilities with this foundation.

### **Item 5 – Additional Compensation**

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Ms. Patten has additional business activities where compensation is received that are detailed in Item 4 above. Ms. Patten also has a real estate investment portfolio, including rental properties in the Chattanooga area.

### **Item 6 – Supervision**

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Ms. Patten serves as the President, Chief Executive Officer, Chief Investment Officer and a Senior Portfolio Manager for TPG and is responsible for the supervision of all advisory activities. In the event Ms. Patten is unavailable, Adrienne Zingrich, Chief Compliance Officer and Chief Operating Officer, would be the appropriate contact. Both Ms. Patten and Ms. Zingrich can be reached at (423) 531-0360.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Ms. Patten, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



## **Form ADV Part 2B – Brochure Supplement**

for

**Adrienne L. Zingrich, CFP®  
Chief Compliance Officer,  
Chief Operating Officer &  
Investment Advisor Representative**

**Effective: February 12, 2024**

This Form ADV Part 2B (“Brochure Supplement”) provides information about the background and qualifications of Adrienne L. Zingrich (CRD# 5364434) in addition to the information contained in The Patten Group, LLC (“TPG” or the “Advisor”) (CRD # 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Ms. Zingrich is available on the SEC’s Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD# 5364434.

**Item 2 – Educational Background and Business Experience**

Adrienne L. Zingrich, CFP®, born in 1985, is dedicated to advising Clients of TPG in her roles as the Chief Compliance Officer, Chief Operating Officer and Investment Advisor Representative. Ms. Zingrich earned a Bachelor of Arts in Economics and Organizational Management from Agnes Scott College in 2008. Additional information regarding Ms. Zingrich’s employment history is included below.

**Employment History:**

Chief Compliance Officer, Chief Operating Officer & Investment Advisor Representative, The Patten Group, Inc.	01/2016 to Present
Chief Compliance Officer, Chief Operating Officer & Investment Advisor Representative, Ashlee Patten Group, LLC	12/2014 to 03/2020
Investment Advisor Representative, The Patten Group, Inc.	10/2014 to 12/2015
Associate, The Patten Group, Inc.	08/2014 to 10/2014
Portfolio Administrator, Patten and Patten, Inc.	12/2008 to 02/2011

**CERTIFIED FINANCIAL PLANNER™ (“CFP®”)**

The CERTIFIED FINANCIAL PLANNER™, CFP®, and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by CERTIFIED FINANCIAL PLANNER™ Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 87,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- *Education* – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real-world circumstances.
- *Experience* – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- *Ethics* – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics

requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field.
- *Ethics* – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP®.

### **Item 3 – Disciplinary Information**

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***There are no legal, civil or disciplinary events to disclose regarding Ms. Zingrich.*** Ms. Zingrich has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Zingrich.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Zingrich.***

However, the Advisor does encourage you to independently view the background of Ms. Zingrich on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD# 5364434.

### **Item 4 – Other Business Activities**

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Ms. Zingrich is dedicated to the investment advisory activities of TPG’s clients. Ms. Zingrich does not have any other business activities.

### **Item 5 – Additional Compensation**

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Ms. Zingrich is dedicated to the investment advisory activities of TPG’s clients. Ms. Zingrich does not receive any additional forms of compensation.

### **Item 6 – Supervision**

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Ms. Zingrich serves as the Chief Compliance Officer, Chief Operating Officer and an Investment Advisor Representative of TPG and is supervised by Ashlee Patten, the President and Chief Executive Officer. Ms. Patten can be reached at (423) 531-3480.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Ms. Zingrich, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



## **Form ADV Part 2B – Brochure Supplement**

for

**James R. Hill, CFA<sup>®</sup>**  
**Director of Research & Portfolio Manager**

**Effective: February 12, 2024**

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of James R. Hill (CRD# 6920616) in addition to the information contained in The Patten Group, Inc. (“TPG” or the “Advisor”, CRD# 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Mr. Hill is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 6920616.



## **Item 2 – Educational Background and Business Experience**

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James R. Hill, CFA®, born in 1976, advises Clients of TPG as the Director of Research and Portfolio Manager. Mr. Hill earned a Bachelor of Science from Clemson University in 2001. Mr. Hill also earned an MBA from University of Georgia in 2009. Additional information regarding Mr. Hill’s employment history is included below.

### **Employment History:**

Director of Research & Portfolio Manager, The Patten Group, Inc.	03/2018 to Present
Senior Research Analyst, Ashlee Patten Group, Inc.	03/2018 to 03/2020
Vice President of Financial Structures, EnerG3	08/2016 to 02/2018
Investment Officer, Unum	09/2012 to 05/2016

### **Chartered Financial Analyst™ (“CFA®”)**

The Chartered Financial Analyst™ (“CFA®”) charter is a professional designation established in 1962 and awarded by CFA® Institute. To earn the CFA® charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA® Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. Also, CFA® charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm their adherence to the CFA® Institute Code of Ethics and Standards of Professional Conduct. CFA® is a trademark owned by CFA® Institute.

## **Item 3 – Disciplinary Information**

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***There are no legal, civil or disciplinary events to disclose regarding Mr. Hill.*** Mr. Hill has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Hill.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices.

***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Hill.***

However, the Advisor does encourage you to independently view the background of Mr. Hill on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 6920616.

## **Item 4 – Other Business Activities**

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Mr. Hill is dedicated to the investment advisory activities of TPG’s clients. Mr. Hill does not have any other business activities.

## **Item 5 – Additional Compensation**

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Mr. Hill is dedicated to the investment advisory activities of TPG’s clients. Mr. Hill does not receive any additional forms of compensation.

## **Item 6 – Supervision**

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Mr. Hill serves as the Director of Research and Trading and is a Portfolio Manager of TPG. Mr. Hill is supervised by Ashlee Patten, the President and Chief Executive Officer. Ms. Patten can be reached at (423) 531-0360.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Mr. Hill, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



## **Form ADV Part 2B – Brochure Supplement**

for

**Chandler A. Thurmon  
Research Associate &  
Investment Advisor Representative**

**Effective: February 12, 2024**

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Chandler A. Thurmon (CRD# 7208319) in addition to the information contained in The Patten Group, Inc. (“TPG” or the “Advisor”, CRD# 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Mr. Thurmon is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7208319.

## **Item 2 – Educational Background and Business Experience**

Chandler A. Thurmon, born in 1997, is dedicated to advising Clients of TPG as a Research Associate & Investment Advisor Representative. Mr. Thurmon earned a Bachelor of Science in Finance, Investments from The University of Tennessee at Chattanooga in 2019. Additional information regarding Mr. Thurmon's employment history is included below.

### **Employment History:**

Research Associate & Investment Advisor Representative, The Patten Group, Inc.	09/2019 to Present
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## **Item 3 – Disciplinary Information**

***There are no legal, civil or disciplinary events to disclose regarding Mr. Thurmon.*** Mr. Thurmon has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Thurmon.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Thurmon.***

However, we do encourage you to independently view the background of Mr. Thurmon on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7208319.

## **Item 4 – Other Business Activities**

Mr. Thurmon, in his individual capacity, is the owner of CAD Properties, LLC. Mr. Thurmon spends approximately 10 hours a month on this activity. Mr. Thurmon draws home layouts for real estate agents to provide to prospective buyers. Mr. Thurmon is compensated on a per square foot basis for initial drafts and then per hour for final drafts.

## **Item 5 – Additional Compensation**

Mr. Thurmon has additional business activities where compensation is received that are detailed in Item 4 above.

## **Item 6 – Supervision**

Mr. Thurmon serves as a Research Associate & Investment Advisor Representative of TPG and is supervised by James R. Hill, the Director of Research and Trading. Mr. Hill can be reached at (423) 531-0360.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Mr. Thurmon, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



## **Form ADV Part 2B – Brochure Supplement**

for

**Kayla A. Coyle**  
**Client Services Associate**

**Effective: February 12, 2024**

This Form ADV Part 2B (“Brochure Supplement”) provides information about the background and qualifications of Kayla A. Coyle (CRD# 6311845) in addition to the information contained in The Patten Group (“TPG” or the “Advisor”) (CRD # 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Ms. Coyle is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD# 6311845.

## Item 2 – Educational Background and Business Experience

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Kayla A. Coyle, born in 1987, is dedicated to serving Clients of TPG in her role as Client Services Associate. Ms. Coyle earned an Associate’s Degree in Entrepreneurship from Chattanooga State Community College in 2013. Additional information regarding Ms. Coyle’s employment history is included below.

### Employment History:

Client Services Associate, The Patten Group, Inc.	06/2023 to Present
Wealth Management Associate, UBS Financial Services, Inc.	03/2014 to 06/2023

## Item 3 – Disciplinary Information

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***There are no legal, civil or disciplinary events to disclose regarding Ms. Coyle.*** Ms. Coyle has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Coyle.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices.

***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Coyle.***

However, the Advisor does encourage you to independently view the background of Ms. Coyle on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD# 6311845.

## Item 4 – Other Business Activities

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Ms. Coyle is dedicated to the investment advisory activities of TPG’s clients. Ms. Coyle does not have any other business activities.

## Item 5 – Additional Compensation

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Ms. Coyle is dedicated to the investment advisory activities of TPG’s clients. Ms. Coyle does not receive any other forms of compensation.

## Item 6 – Supervision

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Ms. Coyle serves as Client Services Associate for TPG and is supervised by Adrienne Zingrich, Chief Operating Officer. Ms. Zingrich can be reached at (423) 531-0360.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Ms. Coyle, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be

announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



## **Form ADV Part 2B – Brochure Supplement**

for

**Torrey Harris  
Client Services Assistant**

**Effective: February 12, 2024**

This Form ADV Part 2B (“Brochure Supplement”) provides information about the background and qualifications of Torrey J. Harris (CRD# 7827267) in addition to the information contained in The Patten Group (“TPG” or the “Advisor”) (CRD # 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Mr. Harris is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7827267.



## Item 2 – Educational Background and Business Experience

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Torrey Harris, born in 1998, is dedicated to serving Clients of TPG in his role as Client Services Assistant. Mr. Harris is pursuing a Bachelor’s Degree in Communications with a minor in Business Administration from the University of Tennessee at Chattanooga. Additional information regarding Mr. Harris’ employment history is included below.

### Employment History:

Client Services Assistant, The Patten Group, Inc.	12/2022 to Present
Operations Team Leader/Supervisor, VXI Global Solutions, LLC	10/2020 to 10/2022

## Item 3 – Disciplinary Information

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***There are no legal, civil or disciplinary events to disclose regarding Mr. Harris.*** Mr. Harris has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Harris.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices.

***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Harris.***

However, the Advisor does encourage you to independently view the background of Mr. Harris on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or her Individual CRD# 7827267.

## Item 4 – Other Business Activities

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Mr. Harris is dedicated to the investment advisory activities of TPG’s clients. Mr. Harris does not have any other business activities.

## Item 5 – Additional Compensation

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Mr. Harris is dedicated to the investment advisory activities of TPG’s clients. Mr. Harris does not receive any other forms of compensation.

## Item 6 – Supervision

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Mr. Harris serves as Client Services Assistant for TPG and is supervised by Adrienne Zingrich, Chief Operating Officer. Ms. Zingrich can be reached at (423) 531-0360.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Mr. Harris, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be

announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



## **Form ADV Part 2B – Brochure Supplement**

for

**John Adam Jones, CFA®  
Research Analyst & Portfolio Manager**

**Effective: July 29, 2024**

This Form ADV Part 2B (“Brochure Supplement”) provides information about the background and qualifications of John Adam Jones, CFA (CRD# 7960839) in addition to the information contained in The Patten Group (“TPG” or the “Advisor”) (CRD # 168255) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the TPG Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (423) 531-0360.

Additional information about Mr. Jones is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7960839.

## Item 2 – Educational Background and Business Experience

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John Adam Jones, CFA<sup>®</sup>, born in 1983, is dedicated to serving Clients of TPG in his role as a Research Analyst and Portfolio Manager. Mr. Jones earned a Bachelor of Science in Finance, Investments from The University of Tennessee at Chattanooga in 2005. Additional information regarding Mr. Jones’s employment history is included below.

### Employment History:

Research Analyst & Portfolio Manager, The Patten Group, Inc.	07/2024 to Present
Chief Financial Officer, Veritas International	08/2023 to 06/2024
Financial Strategist, Happy Feet International	08/2023 to 06/2024
Treasury Director, Electric Power Board of Chattanooga	08/2016 to 05/2023
Financial Consultant, Electric Power Board of Chattanooga	01/2010 to 08/2016
Finance Administrator, Electric Power Board of Chattanooga	07/2007 to 01/2010
Portfolio Administrator, Patten & Patten, Inc.	01/2006 to 06/2007
Office Assistant, Patten & Patten, Inc.	04/2004 to 12/2005

### Chartered Financial Analyst<sup>™</sup> (“CFA<sup>®</sup>”)

The Chartered Financial Analyst<sup>™</sup> (“CFA<sup>®</sup>”) charter is a professional designation established in 1962 and awarded by CFA<sup>®</sup> Institute. To earn the CFA<sup>®</sup> charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA<sup>®</sup> Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. Also, CFA<sup>®</sup> charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm their adherence to the CFA<sup>®</sup> Institute Code of Ethics and Standards of Professional Conduct. CFA<sup>®</sup> is a trademark owned by CFA<sup>®</sup> Institute.

## Item 3 – Disciplinary Information

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***There are no legal, civil or disciplinary events to disclose regarding Mr Jones.*** Mr. Jones has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Jones.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices.

***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Jones***

However, the Advisor does encourage you to independently view the background of Mr. Jones on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD#7960839.

#### **Item 4 – Other Business Activities**

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Mr. Jones is dedicated to the investment advisory activities of TPG’s clients. Mr. Jones does not have any other business activities.

#### **Item 5 – Additional Compensation**

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Mr. Jones is dedicated to the investment advisory activities of TPG’s clients. Mr. Jones does not receive any other forms of compensation.

#### **Item 6 – Supervision**

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Mr. Jones serves as a Research Analyst and is a Portfolio Manager of TPG. Mr. Jones is supervised by Ashlee Patten, the President and Chief Executive Officer. Ms. Patten can be reached at (423) 531-0360.

TPG has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person, including Mr. Jones, in meeting their fiduciary obligations to Clients of TPG. Further, TPG is subject to regulatory oversight by various agencies. These agencies require registration by TPG and its Supervised Persons. As a registered entity, TPG is subject to examinations by regulators, which may be announced or unannounced. TPG is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.